

Sheet 1 of 2							
FORM PTO 1449 (modified)  U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE  LIST OF REFERENCES CITED BY APPLICANT(S) (Use several sheets if necessary)  Date Submitted to PTO: January 22, 2004	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 40%; padding: 2px;">ATTY DOCKET NO. 2004-0092</td> <td style="width: 60%; padding: 2px;">SERIAL NO. NEW 10/761,412</td> </tr> <tr> <td colspan="2" style="padding: 2px;">APPLICANT Makoto IMANISHI et al.</td> </tr> <tr> <td style="width: 40%; padding: 2px;">FILING DATE January 22, 2004</td> <td style="width: 60%; padding: 2px;">GROUP 1725</td> </tr> </table>	ATTY DOCKET NO. 2004-0092	SERIAL NO. NEW 10/761,412	APPLICANT Makoto IMANISHI et al.		FILING DATE January 22, 2004	GROUP 1725
ATTY DOCKET NO. 2004-0092	SERIAL NO. NEW 10/761,412						
APPLICANT Makoto IMANISHI et al.							
FILING DATE January 22, 2004	GROUP 1725						
U.S. PATENT DOCUMENTS							
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
AM	AA	4,024,560	05/1977	Miller et al.			
	AB	4,518,848	05/1985	Weber			
	AC	6,544,377	04/2003	Minamitani et al.			
	AD	6,439,447	08/2002	Minamitani et al.			
	AE	6,329,640	12/2001	Narita et al.			
	AF	6,302,317	10/2001	Narita et al.			
	AG	6,506,222	01/2003	Minamitani et al.			
	AH	6,053,398	04/2000	Iizuka et al.			
AM	AI	4,846,623	07/1989	Otani et al.			
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
AM	AJ	8-78418	03/1996	JP			Abstract
	AK	7-153763	06/1995	JP			Abstract
	AL	96/41109	12/1996	WO			
AM	AM	09-289241	04/1997	JP			Abstract
	AN						
OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)							
	AO	Patent Abstracts of Japan, vol. 1996, no. 07, July 31, 1996 (7/31/96) & JP 08 078418 A (Toshiba Corp.), March 22, 1996 (3/22/96) - English Abstract					
	AP	N. Miyazaki et al., "Thermal shock cracking of lithium niobate single crystal", Journal of Materials Science, Materials in Electronics, Chapman & Hall, Vol. 8, No. 3, pgs 133-138 (1997).					
	AQ						
EXAMINER				DATE CONSIDERED 10/30/2006			

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Sheet 2 of 2		FORM PTO 1449 (modified)		ATTY DOCKET NO. 2004-0092		SERIAL NO. NEW 10/761,412	
U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE				APPLICANT Makoto IMANISHI et al.			
LIST OF REFERENCES CITED BY APPLICANT(S) (Use several sheets if necessary)				FILING DATE January 22, 2004		GROUP 1725	
Date Submitted to PTO: January 22, 2004							
U.S. PATENT DOCUMENTS							
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
AM	AA	5,430,271	07/1995	Orgami et al.			
	AB	5,489,192	02/1996	Taniguchi			
	AC	5,664,254	09/1997	Ohkura et al.			
	AD	5,817,178	10/1998	Mita et al.			
AM	AE	5,848,868	12/1998	Suzuki et al.			
	AF						
	AG						
	AH						
	AI						
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
	AJ	09-050957	02/1997	JP			
	AK						
	AL						
	AM						
	AN						
OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)							
	AO						
	AP						
	AQ						
EXAMINER				DATE CONSIDERED 10/30/2006			

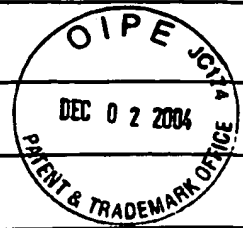
\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

## INFORMATION DISCLOSURE STATEMENT

FORM PTO 1449 (modified)

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICELIST OF REFERENCES CITED BY APPLICANT(S)  
(Use several sheets if necessary)

Date Submitted to PTO: December 2, 2004

ATTY DOCKET NO.  
2004-0092SERIAL NO.  
10/761,412APPLICANT  
Makoto IMANISHI et al.FILING DATE  
January 22, 2004GROUP  
1725

## U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO	
AM	AJ	6-232132	8/1994	JP			Partial	
AM	AK	64-12555	1/1989	JP			Partial	
	AL							
	AM							
	AN							


## OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)

	AO	
	AP	
	AQ	

EXAMINER

DATE CONSIDERED

10/30/2006

Sheet 1 of 1		FORM PTO 1449 (modified)		ATTY DOCKET NO. 2004-0092		SERIAL NO. 10/761,412			
U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE  LIST OF REFERENCES CITED BY APPLICANT(S) (Use several sheets if necessary)  Date Submitted to PTO: May 27, 2004				APPLICANT Makoto IMANISHI et al.					
				FILING DATE January 22, 2004 <span style="float: right;">GROUP 1725</span>					
U.S. PATENT DOCUMENTS									
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE		
AM	AA	6,568,580	05/2003	Narita et al.					
AM	AB	6,494,358	12/2002	Narita et al.					
AM	AC	6,349,447	02/2002	Zimmer					
	AD								
	AE								
	AF								
	AG								
	AH								
	AI								
FOREIGN PATENT DOCUMENTS									
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO		
	AJ								
	AK								
	AL								
	AM								
	AN								
OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)									
	AO								
	AP								
	AQ								
EXAMINER <span style="font-family: cursive;">shus</span>				DATE CONSIDERED <span style="font-family: cursive;">10/30/2006</span>					

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.